

Regular Listco CPD Training

Course ref: PDP/2309/02

Navigating the Icebergs: Understanding fraud and bank account freezes for Listcos in Hong Kong

Date

26 Sept 2024 (THURS)

Language

Cantonese
(Handout in English)

Venue

HKMA Training Center in
Wan Chai
(2/F., Pico Tower, 66
Gloucester Road, Wan Chai)

Rundown

6:45pm Registration
7:00pm Seminar begins
9:00pm Ends

Fee

HK\$350 Normal Fee
HK\$250 Member Fee

CPD Hours

2 hours
(please refer to Note 5)

Course Outline:

The speaker will share with us on the following:

- Types of fraud commonly encountered by Listcos
- Overview of Hong Kong's legal provisions against fraud
- Role of the Hong Kong Securities and Futures Commission (SFC)
- Reasons why bank accounts might be frozen
- The law related to and case examples of bank account freezes in Hong Kong
- What to do if Listco bank accounts are frozen

Speaker(s):

Mr. Dominic Wai

Partner, ONC Lawyers

Before joining the legal profession, Dominic has worked in the banking sector and in the Independent Commission Against Corruption (ICAC).

Dominic's practice focuses on advising clients on matters relating to anti-corruption, white-collar crime, law enforcement, regulatory and compliance matters in Hong Kong, including advice on anti-money laundering. He also handles cases involving corporate litigation, shareholders' disputes and insolvency matters, defamation cases, domestic and international arbitration cases, cybersecurity, data security and privacy law issues, competition law matters, e-Discovery and forensic investigation issues as well as property litigation.

Dominic is currently the Hon legal advisor of a charity that provides a home service for sick children and their families. He is supportive and actively participating in the activities of the charity.

Who Should Attend?

This seminar is suitable for **Directors, CFOs, Financial Controllers and Company Secretaries.**

Our key features

- Specialised on Listco practices
- Verifiable CPD hours
- Connections to renowned speakers
- Professional community networking

Part A - Company Details

Company	<input type="text"/>	Stock Code	<input type="text"/>
Contact person	<input type="text"/>	Contact Tel	<input type="text"/>
E-mail	<input type="text"/>		

Part B - Personal Particulars of Attendant

Title	<input type="text" value="Dr. / Mr. / Mrs. / Ms."/>	Position	<input type="text"/>
Name	<input type="text"/>	(Please provide full name for CPD Certificate issuance)	
E-mail	<input type="text"/>	Contact Tel	<input type="text"/>

Member^ Yes No

^For details of our membership scheme, please refer to our website at www.ListcoPRO.com or contact our staff at (852) 3628 5722.

Part C - Session Enroll

26 September 2024 (Thursday) (19:00 – 21:00) *(Enrollment deadline is on 19 Sep 2024)*

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- To enroll, please select one of the followings:
- Normal Fee (HK\$350)
 - Member Fee (HK\$250)
 - Regular / Premium Member (Complimentary)

Part D - Enrollment Procedure

- Please simply:
1. Fill in this form and fax to (852) 2528 9018 or e-mail to info@listcopro.com; AND
 2. Send your cheque* together with a copy of the enrollment form within TWO days from your enrollment to:
ListcoPRO (CPD Training Enrollment)
Suite 1204, 12/F., Berkshire House, Taikoo Place, 25 Westlands Road, Quarry Bay, Hong Kong

- *Note :
- Your enrollment will only be confirmed upon the receipt of your cheque.
 - All cheques should be made crossed payable to "**ListcoPRO Services Limited**".
 - Please also mark your name and contact number at the back of your cheque.
 - Post-dated cheque will not be accepted.

- **Important Notes** •
1. Reservation is on a first-come-first-served basis
 2. ListcoPRO Services Limited reserves the right to cancel or make any changes to the event. No refund will be made unless the event is cancelled or over-enrolled
 3. NO REFUND / CANCELLATION will be entertained after application is processed. If you are unable to attend the enrolled program, you may nominate a substitute to take your place, provided that written notification has submitted at least two working days prior to the event.
 4. Tropical Cyclone and Heavy Persistent Rainstorm Arrangements , please refer to our website at www.listcopro.com
 5. Participants may claim CPD points/hours with professional associations, subject to the verification process of the respective professional association. For details, please contact the relevant association directly.